



Filing ID #10020881

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: John R. Chrin
Status: Congressional Candidate
State/District: PA08

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 06/29/2018
Period Covered: 01/01/2017– 05/30/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401K ⇒ DFA US SMALL CAP VALUE PORTFOLIO [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K ⇒ DODGE & COX INTERNATIONAL STOCK FUND [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
401K ⇒ EMERGING MARKETS - T. ROWE [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K ⇒ EMERGING MARKETS INDEX - SSGA [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
401K ⇒ Goldman Sachs Group, Inc. (GS) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
401K ⇒ INTERNATIONAL EQUITY - WELLINGTON [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
INTL GROWTH EQ - HARDING LOEVNER [MF]					
401K ⇒ ISHARES CORE AGGRESSIVE ALLOCATION ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		
401K ⇒ MID CAP EQ INDEX - SSGA [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
401K ⇒ MID CAP GROWTH EQ - ARTISAN [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K ⇒ MID CAP VALUE EQ - SYCAMORE [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K ⇒ OAKMARK INTERNATIONAL FUND INVESTOR CLASS [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K ⇒ OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K ⇒ REAL ESTATE EQ - CENTERSQUARE [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
401K ⇒ S&P 500 INDEX - SSGA [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		
401K ⇒ SMALL CAP EQ - WELLINGTON [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
401K ⇒ SMALL CAP EQ INDEX - SSGA [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
401K ⇒ SPDR S&P 500 [EF]		\$1,001 - \$15,000	Tax-Deferred		
401K ⇒ VANGUARD HEALTH CARE FUND ADMIRAL SHARES [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K ⇒ VANGUARD HIGH-YIELD CORPORATE FUND ADMIRAL SHARE [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401K ⇒ VANGUARD TOTAL STOCK MARKET ETF [EF]		\$50,001 - \$100,000	Tax-Deferred		
401K ⇒ WISDOMTREE EUROPE HEDGED EQUITY ETF [EF]		\$1 - \$1,000	Tax-Deferred		
401K - 2 ⇒ DODGE & COX BALANCED FUND [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K - 2 ⇒ EATON VANCE FLOATING-RATE ADVANTAGED FUND CLASS I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K - 2 ⇒ ISHARES CORE AGGRESSIVE ALLOCATION ETF [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
401K - 2 ⇒ OAKMARK INTERNATIONAL FUND INVESTOR CLASS [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K - 2 ⇒ OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K - 2 ⇒ SPDR S&P 500 ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K - 2 ⇒ VANGUARD HEALTH CARE FUND ADMIRAL SHARES [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K - 2 ⇒ VANGUARD TOTAL STOCK MARKET ETF [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒ FDIC-INSURED ACCOUNT [BA]	DC	\$50,001 - \$100,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒ VANGUARD DEVELOPED MARKETS INDEX INSTITUTIONAL PLUS [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒	DC	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
VANGUARD DEVELOPED MARKETS INDEX INSTITUTIONAL PLUS [MF]					
529 College Savings Plan - DC 1 ⇒ VANGUARD INSTITUTIONAL INDEX FUND INSTITUTIONAL PLUS SHARES [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒ VANGUARD MID-CAP INDEX FUND INSTI PLUS [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒ VANGUARD SHORT-TERM BOND INDEX FD INSTL PLUS [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒ VANGUARD SHORT-TERM INVESTMENT GRADE FUND INSTI SHRS [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒ VANGUARD SMALL-CAP INDEX FUND INSTI PLUS [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒ VANGUARD TOTAL BOND MARKET INSTI PLUS SHARES [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒ VANGUARD TOTAL INTL STOCK INDEX FUND INST PLUS [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 1 ⇒ VANGUARD TOTAL STOCK MARKET INDEX [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ FDIC-INSURED ACCOUNT [BA]	DC	\$50,001 - \$100,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD DEVELOPED MARKETS INDEX INSTITUTIONAL PLUS [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD DEVELOPED MARKETS INDEX INSTITUTIONAL PLUS [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒	DC	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
VANGUARD EMERGING MKT STOCK INDEX [MF]					
529 College Savings Plan - DC 2 ⇒ VANGUARD INSTITUTIONAL INDEX FUND INSTITUTIONAL PLUS SHARES [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD MID-CAP INDEX FUND INSTI PLUS [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD SHORT-TERM INVESTMENT GRADE FUND INSTI SHRS [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD SMALL-CAP INDEX FUND INSTI PLUS [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD TOTAL BOND MARKET INSTI PLUS SHARES [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD TOTAL INTERNATIONAL BOND INDEX [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD TOTAL INTERNATIONAL BOND INDEX [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD TOTAL INTL STOCK INDEX FUND INST PLUS [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - DC 2 ⇒ VANGUARD TOTAL STOCK MARKET INDEX [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - Other ⇒ VANGUARD DEVELOPED MARKETS INDEX [MF]		\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - Other ⇒ VANGUARD DEVELOPED MARKETS INDEX [MF]		\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - Other ⇒ VANGUARD EMERGING MKT STOCK INDEX [MF]		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
529 College Savings Plan - Other ⇒ VANGUARD TOTAL STOCK MARKET INDEX [MF]		\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - Other ⇒ VANGUARD TOTAL STOCK MARKET INDEX [MF]		\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - Self ⇒ VANGAURD TOTAL BOND MARKET INSTI PLUS SHARES [MF]		\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - Self ⇒ VANGUARD DEVELOPED MARKETS INDEX INSTITUTIONAL PLUS [MF]		\$1,001 - \$15,000	Tax-Deferred		
529 College Savings Plan - Self ⇒ VANGUARD MID-CAP INDEX FUND INSTI PLUS [MF]		\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - Self ⇒ VANGUARD SMALL-CAP INDEX FUND INSTI PLUS [MF]		\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - Self ⇒ VANGUARD TOTAL INTL STOCK INDEX FUND INST PLUS [MF]		\$15,001 - \$50,000	Tax-Deferred		
529 College Savings Plan - Self ⇒ VANGUARD TOTAL STOCK MARKET INDEX [MF]		\$1,001 - \$15,000	Tax-Deferred		
Bank Account ⇒ BOILING SPRINGS SAVINGS BANK [BA]	JT	\$1 - \$1,000	None		
Bank Account ⇒ CHARLES SCHWAB - JRC [BA]		None	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ CHARLES SCHWAB - MILLER HOWARD [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ CHARLES SCHWAB - MODEL PORTFOLIO CASH [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ CHARLES SCHWAB - MUNIS [BA]	JT	None	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank Account ⇒ CHARLES SCHWAB - PFDS PORTFOLIO CASH [BA]	JT	None	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ CHASE - CHECKING 1 [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ CHASE - CHECKING 2 [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ CHASE - MONEY MARKET [BA]	JT	\$1 - \$1,000	None		
Bank Account ⇒ CHASE - SAVINGS [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ DOLLAR SAVINGS DIRECT - SAVINGS 1 [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ DOLLAR SAVINGS DIRECT - SAVINGS 2 [BA]		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ DOLLAR SAVINGS DIRECT - SAVINGS 3 [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ GLEN ROCK SAVINGS BANK [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account ⇒ HAVENS SAVINGS BANK [BA]	JT	\$1 - \$1,000	None		
Bank Account ⇒ INVESTORS BANK - SAVINGS [BA]	JT	None	Interest	None	\$1 - \$200
Bank Account ⇒ JT BANK [BA]	JT	\$1 - \$1,000	None		
Bank Account ⇒ MILLBURN TOWNSHIP ESCROW [BA]	JT	\$1 - \$1,000	None		
DESCRIPTION: CONSTRUCTION PERMIT ESCROW HELD WITH MILLBURN TOWNSHIP, NJ					
Bank Account ⇒ RSI SAVINGS BANK [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank Account ⇒ SPENCER SAVINGS BANK [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account - DC 1 ⇒ BOILING SPRINGS SAVINGS BANK - SAVINGS [BA] DESCRIPTION: DC 1	DC	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account - DC 1 ⇒ CHARLES SCHWAB - DC 1 TRUST [BA]	DC	None	Interest	\$1 - \$200	\$1 - \$200
Bank Account - DC 1 ⇒ CHARLES SCHWAB - LV GRAT [BA] DESCRIPTION: HELD IN TRUST	DC	None	Interest	\$1 - \$200	\$1 - \$200
Bank Account - DC 1 ⇒ CHASE - CHECKING DC 1 [BA]	DC	\$1,001 - \$15,000	None		
Bank Account - DC 1 ⇒ HAVEN SAVINGS BANK - SAVINGS [BA] DESCRIPTION: DC 1	DC	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account - DC 1 ⇒ INVESTORS BANK - ILIT [BA] DESCRIPTION: HELD IN TRUST (ILIT).	DC	\$1,001 - \$15,000	None		
Bank Account - DC 1 ⇒ INVESTORS BANK - SAVINGS [BA] DESCRIPTION: DC 1	DC	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account - DC 1 ⇒ SPENCER SAVINGS BANK - SAVINGS [BA] DESCRIPTION: DC 1	DC	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account - DC 2 ⇒ BOILING SPRINGS SAVINGS BANK - SAVINGS [BA] DESCRIPTION: DC 2	DC	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Bank Account - DC 2 ⇒ CHARLES SCHWAB - DC 2 TRUST [BA]	DC	None	Interest	\$1 - \$200	\$1 - \$200
Bank Account - DC 2 ⇒ CHASE - CHECKING DC 2 [BA]	DC	\$1,001 - \$15,000	None		
Bank Account - DC 2 ⇒	DC	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
HAVEN SAVINGS BANK - SAVINGS [BA]					
DESCRIPTION: DC 2					
Bank Account - DC 2 ⇒ INVESTORS BANK - ILIT [BA]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST (ILIT).					
Bank Account - DC 2 ⇒ INVESTORS BANK - SAVINGS [BA]	DC	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: DC 2					
Bank Account - DC 2 ⇒ SPENCER SAVINGS BANK - SAVINGS [BA]	DC	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: DC 2					
Club Membership ⇒ EQUITY CERTIFICATE 1 [OT]		\$1,001 - \$15,000	None		
DESCRIPTION: Club Membership Equity Certificate					
Club Membership ⇒ EQUITY CERTIFICATE 2 [OT]		\$1,001 - \$15,000	None		
DESCRIPTION: Club Membership Equity Certificate.					
Club Membership ⇒ EQUITY CERTIFICATE 3 [OT]		\$1,001 - \$15,000	None		
DESCRIPTION: Club Membership Equity Certificate					
Common Stock ⇒ Abbott Laboratories (ABT) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ ADVIZR INC. [PS]	JT	\$100,001 - \$250,000	OTHER	\$5,001 - \$15,000	\$5,001 - \$15,000
DESCRIPTION: FINANCIAL SECTOR SOFTWARE DEVELOPMENT CORPORATION. INCOME IS THE VESTING OF SHARES - OPTIONS GRANTED FOR PROVIDING STRATEGIC ADVISORY SERVICES.					
Common Stock ⇒ Aerie Pharmaceuticals, Inc. (AERI) [ST]	JT	\$100,001 - \$250,000	None		
Common Stock ⇒ AIRE LTD. [OT]	JT	\$250,001 - \$500,000	OTHER	None	None
DESCRIPTION: COMBINATION OF COMMON AND CONVERTIBLE PREFERRED STOCK IN UNITED KINGDOM BASED START-UP. PRODUCT OFFERED IS AN ALGORITHMIC CREDIT SCORING ENGINE THAT EMULATES THE HUMAN INTELLIGENCE OF UNDERWRITERS. INCOME IS VESTING OF SHARES - OPTIONS GRANTED FOR PROVIDING STRATEGIC ADVISORY SERVICES.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Common Stock ⇒ Alcoa Corporation (AA) [ST]	JT	\$15,001 - \$50,000	Dividends	None	None
Common Stock ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST]	SP	\$15,001 - \$50,000	None		
Common Stock ⇒ AmeriGas Partners, L.P. (APU) [ST]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200
Common Stock ⇒ Andeavor Logistics LP Common Units representing Limited Partner Interests (ANDX) [ST]	JT	None	None		
Common Stock ⇒ Astoria Financial Corporation (AF) [ST]	JT	None	Dividends	None	\$5,001 - \$15,000
Common Stock ⇒ AT&T Inc. (T) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ Blackrock MuniYield New Jersey Fund, Inc (MYJ) [ST]	JT	None	Capital Gains, Dividends	None	\$15,001 - \$50,000
Common Stock ⇒ Boston Private Financial Holdings, Inc. - Depositary Shares representing 1/40th Interest in a Share (BPFHP) [ST]	SP	None	Dividends	None	\$201 - \$1,000
Common Stock ⇒ Brighthouse Financial, Inc. (BHF) [ST]	JT	\$1,001 - \$15,000	None		
Common Stock ⇒ Buckeye Partners L.P. (BPL) [ST]	JT	None	Dividends, Interest	None	\$1 - \$200
Common Stock ⇒ Capital One Financial Corporation Warrants expiring November 14, 2018 (COF.W) [ST]	JT	\$100,001 - \$250,000	None		
Common Stock ⇒ CBS Corporation Class B (CBS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Common Stock ⇒ Chemours Company (CC) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Common Stock ⇒ Cisco Systems, Inc. (CSCO) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Common Stock ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Common Stock ⇒ E.I. du Pont de Nemours and Company (DD) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ Eaton vance Floating-Rate Income Plus Fund Common Shares of Beneficial Interest (EFF) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ Energy Transfer Equity, L.P. Energy Transfer Equity, L.P. Common Units representing Limited Partners (ETE) [ST]	JT	None	Dividends, Interest, PARTNERSHIP	None	\$1 - \$200
Common Stock ⇒ Energy Transfer Partners, L.P. Common Units representing limited partner interests (ETP) [ST]	JT	None	Dividends, Interest	None	\$201 - \$1,000
Common Stock ⇒ EnLink Midstream Partners, LP Common Units Representing Limited Partnership Interests (ENLK) [ST]	JT	None	Interest	None	\$1 - \$200
Common Stock ⇒ EnLink Midstream, LLC Common Units representing Limited Partner Interests (ENLC) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Common Stock ⇒ Enterprise Products Partners L.P. (EPD) [ST]	JT	None	Interest, PARTNERSHIP	None	\$1 - \$200
Common Stock ⇒ EQT Midstream Partners, LP Common Units representing Limited Partner Interests (EQM) [ST]	JT	None	Interest, PARTNERSHIP	None	\$1 - \$200
Common Stock ⇒ Exxon Mobil Corporation (XOM) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Common Stock ⇒ General Electric Company (GE) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock ⇒ General Motors Company (GM) [ST]	JT	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Common Stock ⇒ General Motors Company Warrant (GM.B) [ST]	JT	\$100,001 - \$250,000	None		
Common Stock ⇒ Genesis Energy, L.P. Common Units (GEL) [ST]	JT	None	Dividends, Interest, PARTNERSHIP	None	\$1 - \$200
Common Stock ⇒ Goldman Sachs Group, Inc. (GS) [ST]	SP	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Common Stock ⇒ Halyard Health, Inc. (HYH) [ST]	JT	\$1,001 - \$15,000	None		
Common Stock ⇒ HIGHLANDS BK SHARES COM USD5 [OT] DESCRIPTION: TICKER HLND	SP	\$50,001 - \$100,000	None		
Common Stock ⇒ HIGHLANDS BK SHARES COM USD5 [OT] DESCRIPTION: TICKER HLND	JT	\$1,001 - \$15,000	None		
Common Stock ⇒ Howard Bancorp, Inc. (HBMD) [ST]	JT	\$50,001 - \$100,000	None		
Common Stock ⇒ Intel Corporation (INTC) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ International Business Machines Corporation (IBM) [ST]		None	Dividends	None	\$1 - \$200
Common Stock ⇒ J P Morgan Chase & Co Warrant expiring October 28, 2018 (JPM.W) [ST]	JT	\$250,001 - \$500,000	None		
Common Stock ⇒ J.M. Smucker Company (SJM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ Johnson & Johnson (JNJ) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Common Stock ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Common Stock ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Common Stock ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ Kayne Anderson MLP Investment Company (KYN) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Common Stock ⇒ Kimberly-Clark Corporation (KMB) [ST]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Common Stock ⇒ Magellan Midstream Partners L.P. Limited Partnership (MMP) [ST]	JT	None	Capital Gains, PARTNERSHIP	None	\$201 - \$1,000
Common Stock ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Common Stock ⇒ MetLife, Inc. (MET) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Common Stock ⇒ MetLife, Inc. (MET) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ Mosaic Company (MOS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock ⇒ MPLX LP Common Units Representing Limited Partner Interests (MPLX) [ST]	JT	None	Dividends, Interest, PARTNERSHIP	None	\$1 - \$200
Common Stock ⇒ ONEOK Partners, L.P. (OKS) [ST]	JT	None	Capital Gains, Dividends	None	\$1 - \$200
Common Stock ⇒ Paychex, Inc. (PAYX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	\$100,001 - \$250,000	None		
Common Stock ⇒	JT	None	Dividends	None	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Pembina Pipeline Corp. Ordinary Shares (PBA) [ST]					
Common Stock ⇒ Phillips 66 Partners LP Common Units representing limited partner interest in the Partnership (PSXP) [ST]	JT	None	Dividends, PARTNERSHIP	None	\$1 - \$200
Common Stock ⇒ Plains All American Pipeline, L.P. (PAA) [ST]	JT	None	Capital Gains, Interest, PARTNERSHIP	None	\$1 - \$200
Common Stock ⇒ PNC Financial Services Group, Inc. Warrant expiring December 31, 2018 (PNC.W) [ST]	JT	\$250,001 - \$500,000	None		
Common Stock ⇒ Procter & Gamble Company (PG) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock ⇒ Shell Midstream Partners, L.P. Common Units representing Limited Partner Interests (SHLX) [ST]	JT	None	Dividends, PARTNERSHIP	None	\$1 - \$200
Common Stock ⇒ Skytop Lodge Corporation (SKTP) [ST]	JT	\$15,001 - \$50,000	None		
Common Stock ⇒ Sterling Bancorp, Inc. (SBT) [ST]	JT	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	\$5,001 - \$15,000
Common Stock ⇒ Summit Midstream Partners, LP Common Units Representing Limited Partner Interests (SMLP) [ST]	JT	\$50,001 - \$100,000	None		
Common Stock ⇒ Sunoco Logistics Partners LP Common Units representing limited partner interests (SXL) [ST]	JT	None	Dividends, Interest	None	\$1 - \$200
Common Stock ⇒ Under Armour, Inc. Class C (UA) [ST]	JT	\$1,001 - \$15,000	None		
Common Stock ⇒ Under Armour, Inc. Class C (UA) [ST]	SP	\$1,001 - \$15,000	None		
Common Stock ⇒ Union Pacific Corporation (UNP) [ST]	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Common Stock ⇒	JT	\$15,001 - \$50,000	Dividends	\$1,001 -	\$1,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Viacom Inc. - Class B (VIAB) [ST]				\$2,500	\$2,500
Common Stock ⇒ Walt Disney Company (DIS) [ST]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Common Stock ⇒ Webster Financial Corporation (WBS) [ST]	JT	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Common Stock ⇒ Webster Financial Corporation (WBS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock ⇒ Wells Fargo & Company (WFC) [ST]	JT	None	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Common Stock ⇒ Western Gas Equity Partners, LP Common Units Representing Limited Partner Interests (WGP) [ST]	JT	None	Capital Gains, Interest, PARTNERSHIP	None	\$1 - \$200
Common Stock (DC1) ⇒ Aerie Pharmaceuticals, Inc. (AERI) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Amazon.com, Inc. (AMZN) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ AT&T Inc. (T) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒	DC	\$1,001 - \$15,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Boston Private Financial Holdings, Inc. - Depositary Shares representing 1/40th Interest in a Share (BPFHP) [ST]				\$1,000	\$1,000
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Capital One Financial Corporation Warrants expiring November 14, 2018 (COF.W) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Chemours Company (CC) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒ Cisco Systems, Inc. (CSCO) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Comcast Corporation - Class A (CMCSA) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒ Discovery Communications, Inc. - Series A (DISCA) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC1) ⇒ Discovery Communications, Inc. - Series C (DISCK) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC1) ⇒ E.I. du Pont de Nemours and Company (DD) [ST]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock (DC1) ⇒ Eaton Vance Floating Rate Income Trust Common Shares of Beneficial Interest (EFT) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock (DC1) ⇒ Exxon Mobil Corporation (XOM) [ST]	DC	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Common Stock (DC1) ⇒ Exxon Mobil Corporation (XOM) [ST]	DC	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒ First Solar, Inc. (FSLR) [ST]	DC	\$1,001 - \$15,000	None		
Common Stock (DC1) ⇒	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
General Electric Company (GE) [ST]					
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ General Motors Company (GM) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock (DC1) ⇒ General Motors Company (GM) [ST]					
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ General Motors Company Warrant (GM.B) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ General Motors Company Warrant (GM.B) [ST]	DC	\$1,001 - \$15,000	None		
Common Stock (DC1) ⇒ Goldman Sachs Group, Inc. (GS) [ST]					
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ International Business Machines Corporation (IBM) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ JD.com, Inc. - American Depositary Shares (JD) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Johnson & Johnson (JNJ) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒ Johnson & Johnson (JNJ) [ST]					
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ JP Morgan Chase & Co. (JPM) [ST]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Liberty Global plc - Class A Ordinary Shares (LBTYA) [ST]	DC	\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Common Stock (DC1) ⇒ Liberty Global plc - Class C Ordinary Shares (LBTYK) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC1) ⇒ Liberty Latin America Ltd. - Class A (LILA) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC1) ⇒ Liberty Media Corporation - Series A Liberty SiriusXM (LSXMA) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC1) ⇒ Liberty Media Corporation - Series C Liberty SiriusXM (LSXMK) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC1) ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock (DC1) ⇒ Mosaic Company (MOS) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒ MPLX LP Common Units Representing Limited Partner Interests (MPLX) [ST]	DC	\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒ PayPal Holdings, Inc. (PYPL) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC1) ⇒ Procter & Gamble Company (PG) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒ SailPoint Technologies Holdings, Inc. (SAIL) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC1) ⇒ Skytop Lodge Corporation (SKTP) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC1) ⇒ Snap Inc. Class A (SNAP) [ST]	DC	\$1,001 - \$15,000	None		
Common Stock (DC1) ⇒ Sterling Bancorp, Inc. (SBT) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Summit Midstream Partners, LP Common Units Representing Limited Partner Interests (SMLP) [ST]	DC	\$15,001 - \$50,000	None		
Common Stock (DC1) ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Tiffany & Co. (TIF) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒ Tiffany & Co. (TIF) [ST]	DC	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Under Armour, Inc. Class A (UAA) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Union Pacific Corporation (UNP) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC1) ⇒ Viacom Inc. - Class B (VIAB) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC1) ⇒ Walt Disney Company (DIS) [ST]	DC	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Common Stock (DC1) ⇒ Webster Financial Corporation (WBS) [ST]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock (DC2) ⇒ Aerie Pharmaceuticals, Inc. (AERI) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Common Stock (DC2) ⇒ Alphabet Inc. - Class A (GOOGL) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC2) ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC2) ⇒ Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: HELD IN TRUST	DC	\$15,001 - \$50,000	None		
Common Stock (DC2) ⇒ Capital One Financial Corporation Warrants expiring November 14, 2018 (COF.W) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC2) ⇒ Cisco Systems, Inc. (CSCO) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC2) ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST] DESCRIPTION: HELD IN TRUST	DC	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
Common Stock (DC2) ⇒ Discovery Communications, Inc. - Series A (DISCA) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC2) ⇒ Discovery Communications, Inc. - Series C (DISCK) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC2) ⇒ Eaton Vance Floating Rate Income Trust Common Shares of Beneficial Interest (EFT) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC2) ⇒ Express Scripts Holding Company (ESRX) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC2) ⇒ Exxon Mobil Corporation (XOM) [ST]	DC	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Common Stock (DC2) ⇒	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
General Electric Company (GE) [ST]					
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ General Motors Company (GM) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Common Stock (DC2) ⇒ General Motors Company (GM) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ General Motors Company Warrant (GM.B) [ST]	DC	\$1,001 - \$15,000	None		
Common Stock (DC2) ⇒ General Motors Company Warrant (GM.B) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]	DC	\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ Goldman Sachs Group, Inc. (GS) [ST]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ International Business Machines Corporation (IBM) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ JD.com, Inc. - American Depositary Shares (JD) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ Johnson & Johnson (JNJ) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ Johnson & Johnson (JNJ) [ST]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock (DC2) ⇒ JP Morgan Chase & Co. (JPM) [ST]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ Liberty Global plc - Class A Ordinary Shares (LBTYA) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC2) ⇒ Liberty Global plc - Class C Ordinary Shares (LBTYK) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC2) ⇒ Liberty Latin America Ltd. - Class A (LILA) [ST]	DC	\$1 - \$1,000	None		
Common Stock (DC2) ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Common Stock (DC2) ⇒ Mosaic Company (MOS) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC2) ⇒ MPLX LP Common Units Representing Limited Partner Interests (MPLX) [ST]	DC	\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	\$1 - \$200
Common Stock (DC2) ⇒ PayPal Holdings, Inc. (PYPL) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ SailPoint Technologies Holdings, Inc. (SAIL) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ Skytop Lodge Corporation (SKTP) [ST]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ Snap Inc. Class A (SNAP) [ST]	DC	\$1,001 - \$15,000	None		
Common Stock (DC2) ⇒ Sterling Bancorp, Inc. (SBT) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: HELD IN TRUST					
Common Stock (DC2) ⇒ Summit Midstream Partners, LP Common Units Representing Limited Partner Interests (SMLP) [ST]	DC	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Common Stock (DC2) ⇒ The Trade Desk, Inc. - Class A (TTD) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC2) ⇒ Tiffany & Co. (TIF) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC2) ⇒ Tiffany & Co. (TIF) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC2) ⇒ Under Armour, Inc. Class A (UAA) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC2) ⇒ Union Pacific Corporation (UNP) [ST] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Common Stock (DC2) ⇒ Viacom Inc. - Class B (VIAB) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Common Stock (DC2) ⇒ Walt Disney Company (DIS) [ST]	DC	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Common Stock (DC2) ⇒ Webster Financial Corporation (WBS) [ST]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Convertible Note ⇒ BEVISIBLE [OT] DESCRIPTION: SEED/ANGEL CAPITAL INVESTMENT. BEVISIBLE IS HEADQUARTERED IN BOULDER, COLORADO AND IS A PROFESSIONAL CAREER NETWORK FOR LATINX.	JT	\$1,001 - \$15,000	None		
ETF ⇒ Barron's 400 [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
ETF ⇒ SCHWAB U.S. BROAD MARKET ETF [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
ETF ⇒ SPDR GOLD TRUST [EF]	JT	\$15,001 - \$50,000	None		
ETF ⇒	JT	\$100,001 -	Dividends	\$1,001 -	\$1,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SPDR S&P 500 [EF]		\$250,000		\$2,500	\$2,500
ETF ⇒ VANECK VECTORS RUSSIA ETF [EF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
ETF ⇒ VANGUARD REIT ETF - VNQ [EF]	JT	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
ETF ⇒ VANGUARD TOTAL STOCK MARKET ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
ETF ⇒ VANGUARD TOTAL STOCK MARKET ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
ETF ⇒ WISDOMTREE EUROPE HEDGED EQUITY FUND [EF]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
ETF (DC1) ⇒ SCHWAB U.S. BROAD MARKET ETF [EF]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
ETF (DC1) ⇒ SPDR SELECT SECTOR FUND - TECHNOLOGY [EF]	DC	\$1,001 - \$15,000	None		
ETF (DC1) ⇒ TECHNOLOGY SELECT SECTOR [EF]	DC	None	Dividends	None	\$1 - \$200
ETF (DC1) ⇒ VANGUARD TOTAL STOCK MARKET ETF [EF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
ETF (DC2) ⇒ SCHWAB U.S. BROAD MARKET ETF [EF]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
ETF (DC2) ⇒ SPDR SELECT SECTOR FUND - TECHNOLOGY [EF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
GOLDMAN SACHS TRADITIONAL PENSION [PE]	SP	\$15,001 - \$50,000	None		
DESCRIPTION: TRADITIONAL PENSION COMMENCING ON OCTOBER 1, 2029. ANNUAL RECEIPTS OF \$3,660.					
Health Savings Account (HSA) ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Health Savings Account (HSA) ⇒ DOUBLELINE TOTAL RETURN BOND FUND CLASS N [MF]	SP	\$1 - \$1,000	Tax-Deferred		
Health Savings Account (HSA) ⇒ FPA CRESCENT FUND [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Health Savings Account (HSA) ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Health Savings Account (HSA) ⇒ HSA SAVINGS [BA]	SP	\$1 - \$1,000	None		
Health Savings Account (HSA) ⇒ ISHARES S&P AGGRESSIVE ALLOCATION FD ETF [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Health Savings Account (HSA) ⇒ VANGUARD TOTAL STOCK MARKET ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Hedge Funds & Private Equity Funds (EIF) ⇒ ACCOLADE PARTNERS V LP [HE]	JT	\$100,001 - \$250,000	Capital Gains, Dividends, Interest, PARTNERSHIP	\$1,001 - \$2,500	\$1,001 - \$2,500
Hedge Funds & Private Equity Funds (EIF) ⇒ ACCOLADE PARTNERS VI LP [HE]	JT	\$15,001 - \$50,000	Capital Gains, Dividends, Interest, PARTNERSHIP	None	None
Hedge Funds & Private Equity Funds (EIF) ⇒ CENTURY FOCUSED FUND III LP [HE]	JT	\$100,001 - \$250,000	Capital Gains, Dividends, PARTNERSHIP	\$15,001 - \$50,000	\$15,001 - \$50,000
Hedge Funds & Private Equity Funds (EIF) ⇒ CENTURY FOCUSED FUND IV LP [HE]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Hedge Funds & Private Equity Funds (EIF) ⇒ ENERGY CAPITAL PARTNERS MEZZANINE OPPORTUNITIES FUND A LP [HE]	JT	\$250,001 - \$500,000	Capital Gains, Dividends, Interest, PARTNERSHIP	\$50,001 - \$100,000	\$50,001 - \$100,000
Hedge Funds & Private Equity Funds (EIF) ⇒ FENWAY SUMMER VENTURES LP [HE]	JT	\$100,001 - \$250,000	Dividends, Interest,	\$1,001 - \$2,500	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
			PARTNERSHIP		
Hedge Funds & Private Equity Funds (EIF) ⇒ GS CONCENTRATED MEZZANINE & DISTRESSED FUND LP [BA]	JT	\$15,001 - \$50,000	Capital Gains, Dividends, Interest, PARTNERSHIP	\$15,001 - \$50,000	\$15,001 - \$50,000
Hedge Funds & Private Equity Funds (EIF) ⇒ GS VINTAGE II EMPLOYEE FUND LP [BA]	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest, PARTNERSHIP	\$201 - \$1,000	\$201 - \$1,000
Hedge Funds & Private Equity Funds (EIF) ⇒ HEC ONSHORE FUND LP [HE]	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends, Interest, PARTNERSHIP	\$5,001 - \$15,000	\$5,001 - \$15,000
Hedge Funds & Private Equity Funds (EIF) ⇒ MCNALLY CAPITAL MEZZANINE FUND II LP [HE]	JT	\$250,001 - \$500,000	Capital Gains, Dividends, Interest, PARTNERSHIP	\$50,001 - \$100,000	\$50,001 - \$100,000
Hedge Funds & Private Equity Funds (EIF) ⇒ ORION ENERGY CREDIT OPPORTUNITIES FUND II LP [HE]		\$100,001 - \$250,000	Capital Gains, Dividends, Interest, PARTNERSHIP	None	None
Hedge Funds & Private Equity Funds (EIF) ⇒ STERLING AMERICAN PROPERTY V LP [HE]	JT	\$250,001 - \$500,000	Capital Gains, Interest, PARTNERSHIP, Rent	\$50,001 - \$100,000	\$50,001 - \$100,000
Hedge Funds & Private Equity Funds (EIF) ⇒ STONE STREET PEP TECHNOLOGY FUND 2000 LP [BA]	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest, PARTNERSHIP	\$1 - \$200	\$1 - \$200
Hedge Funds & Private Equity Funds (EIF) ⇒ THE LUCERNE CAPITAL FUND LP [HE]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends, Interest, PARTNERSHIP	\$50,001 - \$100,000	\$50,001 - \$100,000
Hedge Funds & Private Equity Funds (EIF) ⇒ USFRT KINSTON PARTNERS II LP [HE]		\$250,001 - \$500,000	Capital Gains, Interest, FARM INCOME, Rent	\$2,501 - \$5,000	\$2,501 - \$5,000
Hedge Funds & Private Equity Funds (EIF) ⇒	JT	\$250,001 -	Capital Gains,	\$2,501 -	\$2,501 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
USFRT KINSTON PARTNERS II-A LP [HE]		\$500,000	FARM INCOME, Rent	\$5,000	\$5,000
Hedge Funds & Private Equity Funds (EIF) ⇒ WHITEHALL STREET GLOBAL EMPLOYEE FUND 2001 LP [BA]	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest, PARTNERSHIP	\$201 - \$1,000	\$201 - \$1,000
Hedge Funds & Private Equity Funds (NON-EIF) ⇒ CENTURY FOCUSED FUND III, GP [OT]		\$100,001 - \$250,000	Capital Gains, Dividends, PARTNERSHIP	None	None
DESCRIPTION: SOLE ASSET HELD IN FUND IS A LIMITED PARTNER INTEREST IN CENTURY FOCUSED FUND III LP WHICH QUALIFIES AS AN EIF.					
Hedge Funds & Private Equity Funds (NON-EIF) ⇒ CENTURY FOCUSED FUND IV, GP [OT]		\$1,001 - \$15,000	Capital Gains, PARTNERSHIP	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: SOLE ASSET HELD IN FUND IS A LIMITED PARTNER INTEREST IN CENTURY FOCUSED FUND IV LP WHICH QUALIFIES AS AN EIF.					
IRA ⇒ Aralez Pharmaceuticals Inc. - Common Shares (ARLZ) [ST]		\$1 - \$1,000	Tax-Deferred		
IRA ⇒ ARTISAN GLOBAL VALUE FUND INVESTOR SHARES [MF]		\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]		\$15,001 - \$50,000	Tax-Deferred		
IRA ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		
IRA ⇒ DOUBLELINE TOTAL RETURN BOND FUND CLASS N [MF]	SP	\$1 - \$1,000	Tax-Deferred		
IRA ⇒ DOUBLELINE TOTAL RETURN BOND FUND CLASS N [MF]		\$1 - \$1,000	Tax-Deferred		
IRA ⇒ FMI LARGE CAP INVESTOR CLASS [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IRA ⇒ GENERAL MOTORS CO 19 WTS WARRANTS EXP 07/10/19 [CS]		\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]		\$15,001 - \$50,000	Tax-Deferred		
IRA ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ JPMORGAN CHASE & VAR 65 DUE 10/20/16 [OT]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: JPMORGAN CHASE PREFERRED STOCK - TICKER NOT FOUND IN FEDERAL SYSTEM					
IRA ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ SCHWAB US BROAD MARKET ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
IRA ⇒ UNITED STATES TREAS BILLS 0.0000% [GS]		\$1,001 - \$15,000	Tax-Deferred		
JPMORGAN TRADITIONAL PENSION [PE]		\$50,001 - \$100,000	None		
DESCRIPTION: TRADITIONAL PENSION COMMENCING ON JUNE 1, 2028. ANNUAL RECEIPTS OF \$7,056.					
Life Insurance ⇒ METLIFE - WHOLE LIFE #1 [WU]		\$1,001 - \$15,000	None		
Life Insurance ⇒ METLIFE - WHOLE LIFE #2 [WU]		\$1,001 - \$15,000	None		
Life Insurance ⇒ NORTHWESTERN MUTUAL - ESTATE COMPLIFE [WU]		\$500,001 - \$1,000,000	None		
Life Insurance ⇒ NORTHWESTERN MUTUAL - WHOLE LIFE [WU]		\$15,001 - \$50,000	None		
Life Insurance - DC 1 ⇒ NORTHWESTERN MUTUAL - CASH VALUE [WU]	DC	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Life Insurance - DC 1 ⇒ NORTHWESTERN MUTUAL - ILIT [WU]	DC	\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: HELD IN TRUST (ILIT). SECOND TO DIE POLICY.					
Life Insurance - DC 2 ⇒ NORTHWESTERN MUTUAL - CASH VALUE [WU]	DC	\$15,001 - \$50,000	Tax-Deferred		
Life Insurance - DC 2 ⇒ NORTHWESTERN MUTUAL - ILIT [WU]	DC	\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: HELD IN TRUST (ILIT). SECOND TO DIE POLICY.					
Municipal Bonds ⇒ CALIFNORNIA EDL FACS AUTH REV 0.000% [GS]	JT	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Municipal Bonds ⇒ EL PASO TEX 4.500% 08/15/32 [GS]	JT	None	Interest	None	\$201 - \$1,000
Municipal Bonds ⇒ FORNEY TEX INDPT SCH DIST 0.000% 08/15/44 [GS]	JT	\$1,001 - \$15,000	None		
Municipal Bonds ⇒ HOUSTON TEX HIGHER ED FIN CORP 4.500% 11/15/37 [GS]	JT	None	Interest	None	\$2,501 - \$5,000
Municipal Bonds ⇒ LEANDER TEX INDPT SCH DIST 0.000% [GS]	JT	\$1,001 - \$15,000	Capital Gains, Interest	\$1 - \$200	\$5,001 - \$15,000
Municipal Bonds ⇒ LUBBOCK COOPER TEX INDPT SCH D 0.000% [GS]	JT	\$15,001 - \$50,000	None		
Municipal Bonds ⇒ MILLBURN TWP NJ BRD ED [GS]	JT	None	Interest	None	\$201 - \$1,000
Municipal Bonds ⇒ MISSOURI HEALTH & EDL FACS [GS]	JT	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Municipal Bonds ⇒ NEW JERSEY ECONOMIC DEV AUTH [GS]	JT	None	Interest	None	\$201 - \$1,000
Municipal Bonds ⇒ NEW JERSEY ENVIRONMENTAL INFRA [GS]	JT	None	Interest	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Municipal Bonds ⇒ NEW JERSEY ST EDL FACS AUTH RE [GS]	JT	\$1,001 - \$15,000	Capital Gains, Interest	\$1 - \$200	\$50,001 - \$100,000
Municipal Bonds ⇒ NORTHAMPTON CNTY PA GEN PURP A [GS]	JT	None	Interest	None	\$2,501 - \$5,000
Municipal Bonds ⇒ PRIVATE COLLEGES & UNIVS AUTH [GS]	JT	None	Interest	None	\$201 - \$1,000
Municipal Bonds ⇒ RHODE ISLAND HEALTH & EDL BLDG [GS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Municipal Bonds ⇒ SUNNYVALE TEX SCH DIST 0.000% [GS]	JT	\$15,001 - \$50,000	None		
Municipal Bonds ⇒ SWARTHMORE BORO AUTH PA COLLEGE [GS]	JT	None	Interest	None	\$1,001 - \$2,500
Municipal Bonds ⇒ UNIVERSITY NC CHAPEL HILL REV [GS]	JT	None	Interest	None	\$201 - \$1,000
Municipal Bonds ⇒ UNIVERSITY TEX PERM UNIV FED [GS]	JT	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Municipal Bonds ⇒ UNIVERSITY TEX UNIV REVS [GS]	JT	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Municipal Bonds ⇒ UNIVERSITY VA UNIV REVS [GS]	JT	None	Capital Gains, Interest	None	\$2,501 - \$5,000
Municipal Bonds ⇒ UNIVERSITY WASH UNIV REVS [GS]	JT	None	Interest	None	\$201 - \$1,000
Municipal Bonds ⇒ WESTWOOD NJ REGL SCH DIST [GS]	JT	None	Interest	None	\$1 - \$200
Municipal Bonds ⇒ WOODLAND PK NJ [GS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Municipal Bonds - DC 1 ⇒ LEANDER TEX INDPT SCH DIST 0.000% 08/15/47 [GS] DESCRIPTION: CUSIP 521841DS2	DC	\$1,001 - \$15,000	Interest	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Municipal Bonds - DC 2 ⇒ LEANDER TEX INDPT SCH DIST 0.000% 08/15/47 [GS] DESCRIPTION: CUSIP 521841DS2	DC	\$1,001 - \$15,000	None		
Mutual Funds ⇒ ARTISAN GLOBAL VALUE INVESTOR SHARES [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Mutual Funds ⇒ ARTISAN INTERNATIONAL VALUE FUND ADVISOR SHARES [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Mutual Funds ⇒ ARTISAN INTERNATIONAL VALUE FUND ADVISOR SHARES [MF]	JT	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ ARTISAN SMALL CAP FUND INV SHS [MF]	JT	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ ARTISAN SMALL CAP FUND INVESTOR SHARES [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Mutual Funds ⇒ ARTISAN SMALL CAP FUND INVESTOR SHARES [MF]	JT	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	\$5,001 - \$15,000
Mutual Funds ⇒ DFA GLOBAL REAL ESTATE SECURITIES PTF [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds ⇒ DFA U.S. SMALL CAP PORTFOLIO [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds ⇒ DODGE & COX INTERNATIONAL STOCK FUND [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ DOUBLELINE TOTAL RETURN BOND FUND CLASS I [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ EATON VANE FLOATING-RATE ADVANTAGE FUND CLASS A [MF]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Mutual Funds ⇒ FMI INTERNATIONAL FUND INVESTOR CLASS [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ FMI LARGE CAP FUND INVESTOR CLASS [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds ⇒ FMI LARGE CAP INVESTOR CLASS [MF]	JT	\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds ⇒ FPA FUNDS TRUST, FPA CRESCENT PORTFOLIO INSTITUTIONAL CLASS [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Mutual Funds ⇒ FPA FUNDS TRUST, FPA CRESCENT PORTFOLIO INSTITUTIONAL CLASS [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Mutual Funds ⇒ FPA FUNDS TRUST, FPA CRESCENT PORTFOLIO INSTITUTIONAL CLASS [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds ⇒ GOLDMAN SACHS MLP ENERGY INFRASTRUCTURE INSTITUTIONAL SHARES [MF]	JT	None	Dividends	None	\$1 - \$200
Mutual Funds ⇒ MATTHEWS ASIAN GROWTH AND INCOME FUND INVESTOR CLASS [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ MATTHEWS PACIFIC TIGER FUND INSTI CLASS [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ MATTHEWS PACIFIC TIGER FUND INVESTOR CLASS [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Mutual Funds ⇒ OAKMARK INTERNATIONAL FUND INSTITUTIONAL CLASS [MF]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Mutual Funds ⇒ OAKMARK INTERNATIONAL FUND INVESTOR CLASS [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Mutual Funds ⇒ OAKMARK INTERNATIONAL FUND INVESTOR CLASS [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Mutual Funds ⇒ OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Mutual Funds ⇒ PARNASSUS CORE EQUITY FUND-INSTITUTIONAL SHARES [MF]	JT	None	Capital Gains, Dividends	None	\$201 - \$1,000
Mutual Funds ⇒ SCHWAB NJ MUNICIPAL MONEY FUND [MF]	JT	None	Dividends	None	\$1 - \$200
Mutual Funds ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Mutual Funds ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds ⇒ SEQUOIA FUND [MF]	JT	\$1 - \$1,000	Capital Gains	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ SPDR SELECT SECTOR FUND - HEALTH CARE [MF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Mutual Funds ⇒ THE SEQUOIA FUND [MF]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds ⇒ THIRD AVENUE FOCUSED CREDIT FUND - INSTITUTIONAL CL [MF]	JT	\$1 - \$1,000	Capital Gains	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ VANGUARD INTERNATIONAL GROWTH FUND [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds ⇒ VANGUARD SPECIALIZED PORTFOLIOS HEALTH CARE FUND [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Mutual Funds (DC1) ⇒ ARTISAN GLOBAL VALUE INVESTOR SHARES [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Mutual Funds (DC1) ⇒ ARTISAN GLOBAL VALUE INVESTOR SHARES [MF] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds (DC1) ⇒ ARTISAN SMALL CAP FUND INVESTOR SHARES [MF] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds (DC1) ⇒ ARTISAN SMALL CAP FUND INVESTOR SHARES [MF]	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
Mutual Funds (DC1) ⇒ DOUBLELINE TOTAL RETURN BOND FUND CLASS N [MF]	DC	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds (DC1) ⇒ MAINGATE MLP FUND CLASS A [MF]	DC	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds (DC1) ⇒ MATTHEWS ASIAN GROWTH AND INCOME FUND INVESTOR CLASS [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds (DC1) ⇒ OAKMARK INTERNATIONAL FUND INVESTOR CLASS [MF]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds (DC1) ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds (DC1) ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF] DESCRIPTION: HELD IN TRUST	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds (DC2) ⇒ ARTISAN GLOBAL VALUE INVESTOR SHARES [MF] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mutual Funds (DC2) ⇒ ARTISAN GLOBAL VALUE INVESTOR SHARES [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Mutual Funds (DC2) ⇒ ARTISAN SMALL CAP FUND INVESTOR SHARES [MF]	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
Mutual Funds (DC2) ⇒ ARTISAN SMALL CAP FUND INVESTOR SHARES [MF]	DC	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds (DC2) ⇒ MAINGATE MLP FUND CLASS A [MF]	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
Mutual Funds (DC2) ⇒ MATTHEWS ASIAN GROWTH AND INCOME FUND INVESTOR CLASS [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds (DC2) ⇒ OAKMARK INTERNATIONAL FUND INVESTOR CLASS [MF]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds (DC2) ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Mutual Funds (DC2) ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
Options ⇒ CALL AERIE PHARMACEUTICA \$45 EXP 2/17/17 [OP]	JT	None	Capital Gains	None	\$1,001 - \$2,500
DESCRIPTION: CALL \$45 EXP 2/17/17, CLOSED IN 2017					
Options ⇒ CALL AERIE PHARMACEUTICA \$60 EXP 8/18/17 [OP]	JT	None	Capital Gains	None	\$1,001 - \$2,500
DESCRIPTION: CALL \$60 EXP 8/18/17, CLOSED IN 2017					
Options ⇒ CALL AERIE PHARMACEUTICAL \$40 EXP 1/18/19 [OP]	JT	\$1,001 - \$15,000	None		
DESCRIPTION: CALL \$40 EXP 1/18/19					
Options ⇒ CALL AERIE PHARMACEUTICAL \$70 EXP 08/18/17 [OP]	JT	None	Capital Gains	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: CALL \$70 EXP 08/18/17					
Options ⇒ CALL CLIFFS NATURAL RES \$10 EXP 1/20/17 [OP]	JT	None	Capital Gains	None	\$1 - \$200
DESCRIPTION: CALL \$10 EXP 1/20/17, CLOSED IN 2017					
Options ⇒ CALL CLIFFS NATURAL RES \$12 EXP 1/20/17 [OP]	JT	None	Capital Gains	None	\$1,001 - \$2,500
DESCRIPTION: CALL \$12 EXP 1/20/17, CLOSED IN 2017					
Options ⇒ CALL ENLINK MIDSTREAL LP \$20 EXP 1/20/17 [OP]	JT	None	Capital Gains	None	\$201 - \$1,000
DESCRIPTION: CALL \$20 EXP 1/20/17, CLOSED IN 2017					
Options ⇒ CALL GENERAL ELECTRIC CO \$20 EXP 01/18/19 [OP]	JT	\$1 - \$1,000	None		
DESCRIPTION: CALL \$20 EXP 01/18/19					
Options ⇒ CALL GENERAL ELECTRIC CO \$20 EXP 1/20/17 [OP]	JT	None	Capital Gains	None	\$15,001 - \$50,000
DESCRIPTION: CALL \$20 EXP 1/20/17, CLOSED IN 2017					
Options ⇒ CALL GENERAL MOTORS CO \$55 EXP 1/18/19 [OP]	JT	None	Capital Gains	None	\$2,501 - \$5,000
DESCRIPTION: CALL \$55 EXP 1/18/19, CLOSED IN 2017					
Options ⇒ CALL GODLMAN SACHS GROUP \$305 EXP 7/21/17 [OP]	SP	None	Capital Gains	None	\$201 - \$1,000
DESCRIPTION: CALL \$305 EXP 7/21/17, CLOSED IN 2017					
Options ⇒ CALL GOLDMAN SACHS GROUP \$320 EXP 1/19/18 [OP]	SP	None	Capital Gains	None	\$201 - \$1,000
DESCRIPTION: CALL \$320 EXP 1/19/18, CLOSED IN 2017					
Options ⇒ CALL IBM CORP \$145 EXP 01/18/19 [OP]	JT	\$1,001 - \$15,000	None		
DESCRIPTION: CALL \$145 EXP 01/18/19					
Options ⇒ CALL IBM CORP \$145 EXP 1/18/19 [OP]	JT	\$1,001 - \$15,000	None		
DESCRIPTION: CALL \$145 EXP 1/18/19					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Options ⇒ CALL JPMORGAN CHASE & CO \$110 1/19/18 [OP] DESCRIPTION: CALL \$110 EXP 1/19/18, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ CALL JPMORGAN CHASE & CO \$115 1/19/18 [OP] DESCRIPTION: CALL \$115 EXP 1/19/18, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ CALL MOSAIC CO \$20 EXP 01/18/19 [OP] DESCRIPTION: CALL \$20 EXP 01/18/19	SP	\$15,001 - \$50,000	None		
Options ⇒ CALL PAYPAL HOLDINGS INC \$82.50 EXP 1/19/18 [OP] DESCRIPTION: CALL \$82.50 EXP 1/19/18, CLOSED IN 2017	JT	None	Capital Gains	None	\$1 - \$200
Options ⇒ CALL RIOT BLOCKCHAIN \$30 EXP 12/29/17 [OP] DESCRIPTION: CALL \$30 EXP 12/29/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ CALL SPDR S&P 500 \$200 EXP 12/21/18 [OP] DESCRIPTION: CALL \$200 EXP 12/21/18	JT	\$50,001 - \$100,000	None		
Options ⇒ CALL STERLING BANCORP \$25 EXP 11/17/17 [OP] DESCRIPTION: CALL \$25 EXP 11/17/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ CALL STERLING BANCORP \$25 EXP 2/16/18 [OP] DESCRIPTION: CALL \$25 EXP 2/16/18, CLOSED IN 2017	JT	None	Capital Gains	None	\$1 - \$200
Options ⇒ CALL WEBSTER FINANCIAL \$60 EXP 4/21/17 [OP] DESCRIPTION: CALL \$60 EXP 4/21/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$1 - \$200
Options ⇒ CALL WEBSTER FINANCIAL \$65 EXP 7/21/17 [OP] DESCRIPTION: CALL \$65 EXP 7/21/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$1,001 - \$2,500
Options ⇒ CALL WEBSTER FINANCIAL C \$65 EXP 7/20/18 [OP] DESCRIPTION: CALL \$65 EXP 7/20/18	JT	None	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Options ⇒ PUT ARALEZ PHARMA INC \$4 EXP1/20/17 [OP] DESCRIPTION: PUT \$4 EXP 1/20/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT ARCONIC INC \$17.50 EXP 2/17/17 [OP] DESCRIPTION: PUT \$17.50 EXP 2/17/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT ARCONIC INC \$17.50 EXP 4/21/17 [OP] DESCRIPTION: PUT \$17.50 EXP 4/21/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT ARCONIC INC \$5 EXP 1/20/17 [OP] DESCRIPTION: PUT \$5 EXP 1/20/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$2,501 - \$5,000
Options ⇒ PUT FIRST DATA CORP NEW \$7 EXP 1/20/17 [OP] DESCRIPTION: PUT \$7 EXP 1/20/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$1,001 - \$2,500
Options ⇒ PUT FIRST DATA CORP NEW \$8 EXP 1/20/17 [OP] DESCRIPTION: PUT \$8 EXP 1/20/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$1,001 - \$2,500
Options ⇒ PUT GENERAL MOTORS CO \$42 EXP 1/18/19 [OP] DESCRIPTION: PUT \$42 EXP 1/18/19	JT	\$1,001 - \$15,000	None		
Options ⇒ PUT GENERAL MOTORS CO \$42 EXP 6/15/18 [OP] DESCRIPTION: PUT \$42 EXP 6/15/18	JT	\$1,001 - \$15,000	None		
Options ⇒ PUT IBM CORP \$110 EXP 01/19/18 [OP] DESCRIPTION: PUT \$110 EXP 01/19/18	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT IBM CORP \$125 EXP 01/18/19 [OP] DESCRIPTION: PUT \$125 EXP 01/18/19	JT	None	Capital Gains	None	\$1 - \$200
Options ⇒ PUT IBM CORP \$160 EXP 1/20/17 [OP] DESCRIPTION: PUT \$160 EXP 1/20/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Options ⇒ PUT MACYS INC \$26 EXP 2/17/17 [OP] DESCRIPTION: PUT \$26 EXP 2/17/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$1 - \$200
Options ⇒ PUT MACYS INC \$27 EXP 2/17/17 [OP] DESCRIPTION: PUT \$27 EXP 2/17/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT MOSAIC CO \$12.5 EXP 1/20/17 [OP] DESCRIPTION: PUT \$12.5 EXP 1/20/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT MOSAIC CO \$15 EXP 1/20/17 [OP] DESCRIPTION: PUT \$15 EXP 1/20/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$1,001 - \$2,500
Options ⇒ PUT MOSAIC CO \$18 EXP 1/18/19 [OP] DESCRIPTION: PUT \$18 EXP 1/18/19, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT MOSAIC CO \$20 EXP 01/19/18 [OP] DESCRIPTION: PUT \$20 EXP 01/19/18	SP	None	Capital Gains	None	\$1 - \$200
Options ⇒ PUT MOSAIC CO \$20 EXP 1/19/18 [OP] DESCRIPTION: PUT \$20 EXP 1/19/18, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT PAYPAL HOLDINGS INC \$50 EXP 01/18/19 [OP] DESCRIPTION: PUT \$50 EXP 01/18/19	JT	\$1 - \$1,000	None		
Options ⇒ PUT PAYPAL HOLDINGS INCO \$55 EXP 1/18/19 [OP] DESCRIPTION: PUT \$55 EXP 1/18/19	JT	\$1 - \$1,000	None		
Options ⇒ PUT PAYPAL HOLDINGS INCO \$67.50 EXP 7/20/18 [OP] DESCRIPTION: PUT \$67.50 EXP 7/20/18	JT	\$1 - \$1,000	None		
Options ⇒ PUT SPDR S&P 500 \$275 EXP 1/18/19 [OP] DESCRIPTION: PUT \$275 EXP 1/18/19	JT	\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Options ⇒ PUT SPDR S&P 500 \$275 EXP 6/21/19 [OP] DESCRIPTION: PUT \$275 EXP 6/21/19	JT	\$15,001 - \$50,000	None		
Options ⇒ PUT STERLING BANCORP \$25 EXP 11/17/*17 [OP] DESCRIPTION: PUT \$25 EXP 11/17/17, CLOSED IN 2017	SP	None	None		
Options ⇒ PUT UNDER ARMOUR INC \$27.50 EXP 1/13/17 [OP] DESCRIPTION: PUT \$27.50 EXP 1/13/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$1 - \$200
Options ⇒ PUT UNDER ARMOUR INC \$27.50 EXP 1/20/17 [OP] DESCRIPTION: PUT \$27.50 EXP 1/20/17, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT WW GRAINGER INC \$130 EXP 1/19/18 [OP] DESCRIPTION: PUT \$130 EXP 1/19/18, CLOSED IN 2018	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT WW GRAINGER INC \$145 EXP 1/19/18 [OP] DESCRIPTION: PUT \$148 EXP 1/19/18, CLOSED IN 2018	JT	None	Capital Gains	None	\$201 - \$1,000
Options ⇒ PUT WW GRAINGER INC \$150 EXP 1/19/18 [OP] DESCRIPTION: PUT \$150 EXP 1/19/18, CLOSED IN 2017	JT	None	Capital Gains	None	\$1 - \$200
Options ⇒ PUT WW GRAINGER INC \$155 EXP 1/19/18 [OP] DESCRIPTION: PUT \$155 EXP 1/19/18, CLOSED IN 2017	JT	None	Capital Gains	None	\$201 - \$1,000
Other Retirement Plans ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST] DESCRIPTION: WITHIN CWM CASH BALANCE PLAN	SP	\$15,001 - \$50,000	Tax-Deferred		
Other Retirement Plans ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST] DESCRIPTION: WITHIN CWM CASH BALANCE PLAN		\$15,001 - \$50,000	Tax-Deferred		
Other Retirement Plans ⇒ Eaton Vance Floating Rate Income Trust Common Shares of Beneficial Interest (EFT) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: WITHIN CWM CASH BALANCE PLAN					
Other Retirement Plans ⇒ Eaton Vance Floating Rate Income Trust Common Shares of Beneficial Interest (EFT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: WITHIN CWM CASH BALANCE PLAN					
Other Retirement Plans ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: WITHIN CWM CASH BALANCE PLAN					
Other Retirement Plans ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: WITHIN CWM CASH BALANCE PLAN					
Other Retirement Plans ⇒ GOLDMAN SACHS GRO VAR 99 DUE 12/31/99 [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: CUSIP 38148BAB4					
Other Retirement Plans ⇒ GOLDMAN SACHS GRO VAR 99 DUE 12/31/99 [OT]	SP	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: CUSIP 38148BAB4					
Other Retirement Plans ⇒ JPMORGAN CHASE & VAR 65 DUE 10/20/65 [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: WITHIN CWM CASH BALANCE PLAN					
Other Retirement Plans ⇒ JPMORGAN DEFERRED COMPENSATION [OT]		\$1,000,001 - \$5,000,000	SEE SCHEDULE C	Not Applicable	Not Applicable
DESCRIPTION: JPMORGAN DEFERRED COMPENSATION RETIREMENT ANNUITY (PRE 2005) BEING PAID OVER A 15 YEAR PERIOD BEGINNING IN 2010. SEE SCHEDULE C.					
Other Retirement Plans ⇒ JPMORGAN INFLATION PROTECTED DEFERRED COMPENSATION [OT]		\$250,001 - \$500,000	Tax-Deferred		
DESCRIPTION: INFLATION PROTECTED DEFERRED COMPENSATION (PRE 2005) - INFLATION PLUS 380BP BOND					
Other Retirement Plans ⇒ SCHWAB US BROAD MARKET ETF [EF]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: WITHIN CWM CASH BALANCE PLAN					
Preferred Stock ⇒ Astoria Financial Corporation Depositary Shs Repstg	JT	None	Dividends	None	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
1/40th Int Perp Pfd Ser C 6.5% (AF\$C) [ST]					
Preferred Stock ⇒ BANK AMER CORP 8.000% 12/31/99 [OT] DESCRIPTION: CUSIP 060505DR2	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Preferred Stock ⇒ BANK AMER CORP 8.000% 12/31/99 [OT] DESCRIPTION: CUSIP 060505DR2	JT	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	None
Preferred Stock ⇒ Boston Private Financial Holdings, Inc. - Depositary Shares representing 1/40th Interest in a Share (BPFHP) [ST]	JT	None	Capital Gains	None	\$201 - \$1,000
Preferred Stock ⇒ CAPITAL ONE FINL CORP 5.550% 12/29/99 [OT] DESCRIPTION: CUSIP 14040HBH7	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Preferred Stock ⇒ CAPITAL ONE FINL CORP 5.550% 12/29/99 [OT] DESCRIPTION: CUSIP 14040HBH7	SP	None	Dividends	None	\$15,001 - \$50,000
Preferred Stock ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]	JT	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
Preferred Stock ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	\$15,001 - \$50,000
Preferred Stock ⇒ CITIGROUP INC 5.800% 12/31/99 [OT] DESCRIPTION: CUSIP 172967HZ7	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Preferred Stock ⇒ CITIGROUP INC 5.800% 12/31/99 [OT] DESCRIPTION: CUSIP 172967HZ7	JT	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Preferred Stock ⇒ CITIGROUP INC VAR [OT] DESCRIPTION: CUSIP 172967HZ7, DISPOSED IN 2017	JT	None	Dividends	None	\$201 - \$1,000
Preferred Stock ⇒ Citigroup Inc. Dep Shs Repstg 1/1000th Pfd Ser K	SP	None	Dividends	None	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
(C\$K) [ST]					
DESCRIPTION: DISPOSED IN 2016					
Preferred Stock ⇒ CITIZENS FINL GROUP INC 5.500% 12/29/99 [OT]	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
DESCRIPTION: CUSIP 174610AH8					
Preferred Stock ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]	SP	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
Preferred Stock ⇒ Goldman Sachs GRO VAR 99 DUE 12/31/99 [OT]	JT	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
DESCRIPTION: GOLDMAN SACHS PREFERRED STOCK - TICKER NOT FOUND IN FEDERAL SYSTEM					
Preferred Stock ⇒ JP Morgan Chase & Co Depositary Shares Representing 1/400th Preferred Series T (JPM\$B) [ST]	SP	None	Dividends	None	\$2,501 - \$5,000
Preferred Stock ⇒ JPMORGAN CHASE & CO 5.000% 12/31/99 [OT]	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
DESCRIPTION: CUSIP 48127FAA1					
Preferred Stock ⇒ JPMORGAN CHASE & CO 5.000% 12/31/99 [OT]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: CUSIP 48127FAA1					
Preferred Stock ⇒ JPMORGAN CHASE & CO 5.300% 12/31/99 [OT]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
DESCRIPTION: CUSIP 46625HKK5					
Preferred Stock ⇒ JPMORGAN CHASE & CO 7.900% 12/31/99 [OT]	JT	None	Dividends	\$2,501 - \$5,000	None
DESCRIPTION: CUSIP 46625HHA1					
Preferred Stock ⇒ JPMORGAN CHASE & CO 7.900% 12/31/99 [OT]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: CUSIP 46625HHA1					
Preferred Stock ⇒ JPMORGAN CHASE & VAR 65 DUE 10/20/65 [OT]	JT	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	\$15,001 - \$50,000
DESCRIPTION: JPMORGAN CHASE PREFERRED STOCK - TICKER NOT FOUND IN FEDERAL SYSTEM					
Preferred Stock ⇒ JPMORGAN CHASE & VAR 65 DUE 10/20/65 [OT]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: JPMORGAN CHASE PREFERRED STOCK - TICKER NOT FOUND IN FEDERAL SYSTEM					
Preferred Stock ⇒ MB Financial Inc. - Perpetual Non-Cumulative Preferred Stock, Series A (MBFIP) [ST]	SP	None	Dividends	None	\$15,001 - \$50,000
Preferred Stock ⇒ MB Financial Inc. - Perpetual Non-Cumulative Preferred Stock, Series A (MBFIP) [ST]	JT	None	Capital Gains	None	\$2,501 - \$5,000
Preferred Stock ⇒ NEXTGENVEST [OT]		\$15,001 - \$50,000	None		
DESCRIPTION: CONVERTIBLE PREFERRED SECURITY. NEXTGENVEST IS A NEW YORK CITY START-UP WHICH UTILIZES A SMS TEXT MESSAGING PLATFORM TO HELP GUIDE HIGH SCHOOL STUDENTS ON HOW TO PAY FOR COLLEGE UTILIZING AVAILABLE SCHOLARSHIPS AND LOANS.					
Preferred Stock ⇒ Skytop Lodge Corporation Preferred Stock (SKTPP) [ST]	JT	\$100,001 - \$250,000	None		
Preferred Stock ⇒ Sterling Bancorp Depositary Shares each representing ownership of a 1/40th interest in a share of 6. (STL\$A) [ST]	JT	None	Capital Gains	None	\$15,001 - \$50,000
Preferred Stock ⇒ Synovus Financial Corp. Perp Pfd Ser C Fxd To Fltg (SNV\$C) [ST]	JT	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
Preferred Stock ⇒ TCF Financial Corporation Perp Pfd Ser B (TCB\$C) [ST]	JT	None	Dividends	None	\$1 - \$200
Preferred Stock ⇒ US Bancorp Del DEPOSITARY SH S G (USB\$N) [ST]	JT	None	Dividends	None	\$1,001 - \$2,500
Preferred Stock ⇒ Valley National Bancorp 5.50% Fixed-to-Floating Rate Non-Cumulative Perpetual Preferred Stock, Serie (VLY\$B) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Preferred Stock ⇒ Webster Financial Corporation Dep Shs Repstg 1/1000th Perp Pfd Ser E (WBS\$E) [ST]	SP	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
Preferred Stock ⇒	JT	None	Dividends	None	\$1,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Wells Fargo & Company Wells Fargo & Company 8.00% Non-Cumulative Perpetual Class A Preferred Stock, (WFC\$J) [ST]					\$2,500
Preferred Stock ⇒ Zions Bancorporation Dep Shs Repstg 1/40th Perp Pfd Ser G (ZB\$G) [ST]	JT	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
Preferred Stock - DC 1 ⇒ BANK AMER CORP 8.000% 12/31/99 [OT] DESCRIPTION: CUSIP 060505DR2	DC	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Preferred Stock - DC 1 ⇒ CAPITAL ONE FINL CORP 5.550% 12/29/99 [OT] DESCRIPTION: CUSIP 14040HBH7	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Preferred Stock - DC 1 ⇒ CITIGROUP INC 5.800% 12/31/99 [OT] DESCRIPTION: CUSIP 172967HZ7	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Preferred Stock - DC 1 ⇒ JPMORGAN CHASE & CO 5.000% 12/31/99 [OT] DESCRIPTION: CUSIP 48127FAA1	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Preferred Stock - DC 1 ⇒ JPMORGAN CHASE & CO 7.900% 12/31/99 [OT] DESCRIPTION: CUSIP 46625HHA1	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Preferred Stock - DC 2 ⇒ BANK AMER CORP 8.000% 12/31/99 [OT] DESCRIPTION: CUSIP 060505DR2	DC	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Preferred Stock - DC 2 ⇒ CAPITAL ONE FINL CORP 5.550% 12/29/99 [OT] DESCRIPTION: CUSIP 14040HBH7	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Preferred Stock - DC 2 ⇒ CITIGROUP INC 5.800% 12/31/99 [OT] DESCRIPTION: CUSIP 172967HZ7	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Preferred Stock - DC 2 ⇒ JPMORGAN CHASE & CO 5.000% 12/31/99 [OT] DESCRIPTION: CUSIP 48127FAA1	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Preferred Stock - DC 2 ⇒	DC	\$15,001 - \$50,000	Dividends	\$201 -	\$201 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
JPMORGAN CHASE & CO 7.900% 12/31/99 [OT]				\$1,000	\$1,000
DESCRIPTION: CUSIP 46625HHA1					
Preferred Stock - Held In Trust ⇒ Associated Banc-Corp Depository Sh Repstg 1/40th Int Sh Perpetual Pfd Stk Ser B (ASB\$B) [ST]	DC	None	Dividends	None	\$1,001 - \$2,500
Preferred Stock - Held In Trust ⇒ Boston Private Financial Holdings, Inc. - Depository Shares representing 1/40th Interest in a Share (BPFHP) [ST]	DC	None	Capital Gains, Dividends	None	\$1,001 - \$2,500
Preferred Stock - Held In Trust ⇒ Boston Private Financial Holdings, Inc. - Depository Shares representing 1/40th Interest in a Share (BPFHP) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: DC 2 - HELD IN TRUST					
Preferred Stock - Held In Trust ⇒ Capital One Financial Corp Pfd Ser B (COF\$P) [ST]	DC	None	Dividends	None	\$201 - \$1,000
Preferred Stock - Held In Trust ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]	DC	None	Interest	None	\$15,001 - \$50,000
Preferred Stock - Held In Trust ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]	DC	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
DESCRIPTION: HELD IN TRUST					
Preferred Stock - Held In Trust ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]	DC	\$1,001 - \$15,000	Interest	None	None
DESCRIPTION: HELD IN TRUST					
Preferred Stock - Held In Trust ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]	DC	None	Capital Gains, Interest	None	\$1,001 - \$2,500
DESCRIPTION: DISPOSED IN 2017					
Preferred Stock - Held In Trust ⇒ Huntington Bancshares Incorporated - Non Cumulative Perp Conv Pfd Ser A (HBANP) [ST]	DC	None	Capital Gains, Dividends	None	\$201 - \$1,000
Preferred Stock - Held In Trust ⇒ J P Morgan Chase & Co Depository Shares	DC	None	Capital Gains, Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Representing 1/400th Int Perp Pfd Ser W (JPM\$E) [ST]					
Preferred Stock - Held In Trust ⇒ JPMORGAN CHASE & VAR 65 DUE 10/20/65 [OT]	DC	None	Dividends	None	\$2,501 - \$5,000
DESCRIPTION: JPMORGAN PREFERRED STOCK - TICKER NOT FOUND IN FEDERAL SYSTEM					
Preferred Stock - Held In Trust ⇒ MB Financial Inc. - Perpetual Non-Cumulative Preferred Stock, Series A (MBFIP) [ST]	DC	None	Capital Gains, Dividends	None	\$5,001 - \$15,000
DESCRIPTION: DISPOSED IN 2017					
Preferred Stock - Held In Trust ⇒ MB Financial Inc. - Perpetual Non-Cumulative Preferred Stock, Series A (MBFIP) [ST]	DC	None	Dividends	None	\$1,001 - \$2,500
DESCRIPTION: HELD IN TRUST					
Preferred Stock - Held In Trust ⇒ MB Financial Inc. - Perpetual Non-Cumulative Preferred Stock, Series A (MBFIP) [ST]	DC	None	Dividends	None	\$1,001 - \$2,500
DESCRIPTION: DC 2 - HELD IN TRUST					
Preferred Stock - Held In Trust ⇒ PNC Financial Services Group, Inc. (PNC\$P) [ST]	DC	None	Capital Gains, Dividends	None	\$201 - \$1,000
Preferred Stock - Held In Trust ⇒ Webster Financial Corporation Dep Shs Repstg 1/1000th Perp Pfd Ser E (WBS\$E) [ST]	DC	None	Dividends	None	\$201 - \$1,000
DESCRIPTION: HELD IN TRUST					
Preferred Stock - Held In Trust ⇒ Webster Financial Corporation Dep Shs Repstg 1/1000th Perp Pfd Ser E (WBS\$E) [ST]	DC	None	Capital Gains, Dividends	None	\$2,501 - \$5,000
DESCRIPTION: DISPOSED IN 2017					
Privately-Held Company Engaged in Trade or Business ⇒ CIRCLE WEALTH MANAGEMENT LLC [OL]		\$500,001 - \$1,000,000	PARTNERSHIP	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: SUMMIT, NJ, US DESCRIPTION: CIRCLE WEALTH MANAGEMENT LLC IS A WEALTH MANAGEMENT FIRM WITH OFFICES IN SUMMIT,NJ AND NEW YORK, NY.					
Privately-Held Company Engaged in Trade or Business ⇒ CIRCLE WEALTH MANAGEMENT, LLC [OL]	SP	\$5,000,001 - \$25,000,000	PARTNERSHIP	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: SUMMIT, NJ, US					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: CIRCLE WEALTH MANAGEMENT, LLC IS A WEALTH MANAGEMENT FIRM WITH OFFICES IN SUMMIT, NJ AND NEW YORK, NY.					
Privately-Held Company Engaged in Trade or Business ⇒ PARTNERSHIP INTEREST - CIRCLE VENCAP LLC, 50% Interest [OL]		\$1 - \$1,000	PARTNERSHIP	Not Applicable	Not Applicable
LOCATION: New York, NY, US DESCRIPTION: PARTNERSHIP PROVIDES STRATEGIC FINANCIAL ADVICE TO EARLY-STAGE COMPANIES. EARNED INCOME REPORTED ON SCHEDULE C.					
Privately-Held Company Holding Investments ⇒ INVESTORS BANK - EL JORO [BA]		\$1 - \$1,000	None		
DESCRIPTION: CHECKING ACCOUNT OF EL JORO LLC - PRIVATELY HELD COMPANY FORMED FOR THE PURPOSE OF HOLDING INVESTMENTS					
Privately-Held Company Holding Investments ⇒ PORT ST. LUCIE FLORIDA - LOT 1 [RP]		\$1 - \$1,000	None		
LOCATION: PORT ST. LUCIE, FL, US DESCRIPTION: 1% PARTNERSHIP INTEREST IN EL JORO LLC - PARTNERSHIP OWNS VACANT LOT HELD IN PORT ST. LUCIE FLORIDA. (1 OF 2)					
Privately-Held Company Holding Investments ⇒ PORT ST. LUCIE FLORIDA - LOT 2 [RP]		\$1 - \$1,000	None		
LOCATION: PORT ST. LUCIE, FL, US DESCRIPTION: 1% PARTNERSHIP INTEREST IN EL JORO LLC - PARTNERSHIP OWNS VACANT LOT HELD IN PORT ST. LUCIE FLORIDA. (2 OF 2)					
Privately-Held Company Holding Investments - DC 1 ⇒ DC INVESTORS BANK - EL JORO [BA]		\$1,001 - \$15,000	None		
DESCRIPTION: CHECKING ACCOUNT OF EL JORO LLC - PRIVATELY HELD COMPANY FORMED FOR THE PURPOSE OF HOLDING INVESTMENTS					
Privately-Held Company Holding Investments - DC 1 ⇒ DC PORT ST. LUCIE FLORIDA - LOT 1 [RP]		\$1,001 - \$15,000	None		
LOCATION: PORT ST. LUCIE, FL, US DESCRIPTION: 33% PARTNERSHIP INTEREST IN EL JORO LLC - PARTNERSHIP OWNS VACANT LOT HELD IN PORT ST. LUCIE FLORIDA. (1 OF 2)					
Privately-Held Company Holding Investments - DC 1 ⇒ DC PORT ST. LUCIE FLORIDA - LOT 2 [RP]		\$1,001 - \$15,000	None		
LOCATION: PORT ST. LUCIE, FL, US DESCRIPTION: 33% PARTNERSHIP INTEREST IN EL JORO LLC - PARTNERSHIP OWNS VACANT LOT HELD IN PORT ST. LUCIE FLORIDA. (2 OF 2)					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Privately-Held Company Holding Investments - DC 2 ⇒ INVESTORS BANK - EL JORO [BA]	DC	\$1,001 - \$15,000	None		
DESCRIPTION: CHECKING ACCOUNT OF EL JORO LLC - PRIVATELY HELD COMPANY FORMED FOR THE PURPOSE OF HOLDING INVESTMENTS					
Privately-Held Company Holding Investments - DC 2 ⇒ PORT ST. LUCIE FLORIDA - LOT 1 [RP]	DC	\$1,001 - \$15,000	None		
LOCATION: PORT ST. LUCIE , FL, US DESCRIPTION: 33% PARTNERSHIP INTEREST IN EL JORO LLC - PARTNERSHIP OWNS VACANT LOT HELD IN PORT ST. LUCIE FLORIDA. (1 OF 2)					
Privately-Held Company Holding Investments - DC 2 ⇒ PORT ST. LUCIE FLORIDA - LOT 2 [RP]	DC	\$1,001 - \$15,000	None		
LOCATION: PORT ST. LUCIE, FL, US DESCRIPTION: 33% PARTNERSHIP INTEREST IN EL JORO LLC - PARTNERSHIP OWNS VACANT LOT HELD IN PORT ST. LUCIE FLORIDA. (2 OF 2)					
Roth IRA ⇒ ARTISAN GLOBAL VALUE INVESTOR SHARES [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Roth IRA ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]		\$250,001 - \$500,000	Tax-Deferred		
Roth IRA ⇒ DOUBLELINE TOTAL RETURN BOND FUND CLASS N [MF]	SP	\$1 - \$1,000	Tax-Deferred		
Roth IRA ⇒ FMI LARGE CAP INVESTOR CLASS [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Roth IRA ⇒ JANUS HENDERSON GLOBAL LIFE SCIENCES FUND - T SHARES [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Roth IRA ⇒ RCP SBIC OPPORTUNITIES PRIVATE LTD PARTNERSHIP DELAWARE [HE]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: SBIC FUND OF FUNDS MEZZANINE LENDERS					
Roth IRA ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA ⇒ UNITED STATES TREASURY BILLS 0.0000% [GS]		\$1,001 - \$15,000	Tax-Deferred		
Roth IRA (DC1) ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]	DC	\$15,001 - \$50,000	Tax-Deferred		
Roth IRA (DC1) ⇒ JPMORGAN CHASE & VAR 65 DUE 10/20/65 [OT]	DC	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: JPMORGAN PREFERRED STOCK - TICKER NOT FOUND IN FEDERAL SYSTEM					
Roth IRA (DC1) ⇒ SCHWAB S&P 500 INDEX FUND - SELECT SHARES [MF]	DC	\$1 - \$1,000	Tax-Deferred		
Roth IRA (DC1) ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA (DC1) ⇒ SCHWAB U.S. BROAD MARKET ETF [EF]	DC	\$1,001 - \$15,000	Tax-Deferred		
Roth IRA (DC2) ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]	DC	\$15,001 - \$50,000	Tax-Deferred		
Roth IRA (DC2) ⇒ JPMORGAN CHASE & VAR 65 DUE 10/20/65 [OT]	DC	\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: JPMORGAN PREFERRED STOCK - TICKER NOT FOUND IN FEDERAL SYSTEM					
Roth IRA (DC2) ⇒ SCHWAB S&P 500 INDEX FUND - SELECT SHARES [MF]	DC	\$1 - \$1,000	Tax-Deferred		
Roth IRA (DC2) ⇒ SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Roth IRA (DC2) ⇒ SCHWAB U.S. BROAD MARKET ETF [EF]	DC	\$1,001 - \$15,000	Tax-Deferred		
Savings Bonds ⇒ SERIES EE SAVINGS BONDS [GS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Savings Bonds ⇒ SERIES I SAVINGS BOND [GS]	JT	\$250,001 - \$500,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Savings Bonds - DC 1 ⇒ SERIES E SAVINGS BONDS [GS]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Savings Bonds - DC 1 ⇒ UNITED STATES TREASURY BILLS 0.00000% [GS] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
Savings Bonds - DC 2 ⇒ SAVINGS BONDS - SERIES E [GS]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Savings Bonds - DC 2 ⇒ UNITED STATES TREASURY BILLS 0.0000% [GS] DESCRIPTION: HELD IN TRUST	DC	\$1,001 - \$15,000	None		
SEP IRA ⇒ ARTISAN GLOBAL VALUE FUND INVESTOR SHARES [MF]		\$1,001 - \$15,000	Tax-Deferred		
SEP IRA ⇒ Citigroup Capital VIII 7.875% Fixed rate Floating Rate trust Preferred Securities (C\$N) [ST]		\$100,001 - \$250,000	Tax-Deferred		
SEP IRA ⇒ Eaton Vance Floating Rate Income Trust Common Shares of Beneficial Interest (EFT) [ST]		\$15,001 - \$50,000	Tax-Deferred		
SEP IRA ⇒ FMI LARGE CAP FUND INVESTOR CLASS [MF]		\$1,001 - \$15,000	Tax-Deferred		
SEP IRA ⇒ GMAC Capital Trust I Fixed Rate Floating Rate Trust Preferred Securities, Series 2 (ALLY\$A) [ST]		\$15,001 - \$50,000	Tax-Deferred		
SEP IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SCHWAB TOTAL STOCK MARKET INDEX FD SELECT SHS A SERIES OF SCHWAB [MF]					
SEP IRA ⇒ SCHWAB US BROAD MARKET ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		
US Treasury Notes ⇒ US TREASURY BILL [GS]	JT	None	Interest	None	\$1 - \$200
US Treasury Notes ⇒ US TREASURY NOTE .625% [GS]	JT	None	Interest	None	\$201 - \$1,000

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
JP MORGAN SECURITIES LLC	DEFERRED COMPENSATION	\$400,277.00	\$400,277.00
ASTORIA BANK/STERLING NATIONAL BANK	BOARD FEES, RESTRICTED STOCK SHARES VESTING AND RESTRICTED STOCK DIVIDENDS EARNED	N/A	\$402,832.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citibank	May 2018	Credit Card Balance	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
BOARD MEMBER (UNCOMPENSATED)	DEAN'S ADVISORY COUNSEL OF LEHIGH UNIVERSITY'S COLLEGE OF BUSINESS AND ECONOMICS
ADVISOR/CONSULTANT (UNCOMPENSATED)	KEYSTONE FOOD PRODUCTS

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 2015	CIRCLE WEALTH MANAGEMENT, LLC & MYSELF	PARTICIPATION IN 401(K) PLAN (ONGOING)
August 2015	CIRCLE WEALTH MANAGEMENT, LLC & MYSELF	PARTICIPATION IN CASH BALANCE DEFINED-BENEFIT PLAN (ONGOING)
August 1999	JP MORGAN CHASE & MYSELF	PARTICIPATION IN CASH BALANCE DEFINED-BENEFIT PLAN (ENDED)
June 2009	JP MORGAN CHASE & MYSELF	DEFERRED COMPENSATION PLAN (PRE 2005)
June 2009	JP MORGAN CHASE & MYSELF	DEFERRED COMPENSATION PLAN (PRE 2005) INFLATION-PROTECTED
May 1987	JP MORGAN CHASE & MYSELF	PARTICIPATION IN TRADITIONAL PENSION PLAN.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
CERTAIN CONFIDENTIAL CLIENTS ARE NOT REPORTED (SUMMIT, NJ, US)	INVESTMENT ADVISORY AND CONSULTING
COMMENTS: CLIENTS OF CIRCLE WEALTH MANAGEMENT, LLC (SEE SCHEDULE A) ARE NOT REPORTED DUE TO CONFIDENTIALITY AGREEMENTS ENTERED INTO WITH EACH CLIENT. OFFICES IN SUMMIT, NJ AND NEW YORK, NY.	
CONFIDENTIAL CLIENT IS NOT REPORTED (2) (PITTSBURGH, PA, US)	STRATEGIC AND FINANCIAL ADVISORY SERVICES

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ 401K (Owner: SP)◦ 401K◦ 401K - 2 (Owner: SP)◦ 529 College Savings Plan - DC 1 (Owner: DC) LOCATION: UT◦ 529 College Savings Plan - DC 2 (Owner: DC) LOCATION: UT◦ 529 College Savings Plan - Other LOCATION: UT◦ 529 College Savings Plan - Self LOCATION: UT◦ Bank Account (Owner: JT) LOCATION: US◦ Bank Account (Owner: SP) LOCATION: US

- Bank Account
LOCATION: US
- Bank Account - DC 1 (Owner: DC)
LOCATION: US
- Bank Account - DC 2 (Owner: DC)
LOCATION: US
- Club Membership
LOCATION: US
- Common Stock (Owner: JT)
LOCATION: US
- Common Stock
LOCATION: US
- Common Stock (Owner: SP)
LOCATION: US
- Common Stock (DC1) (Owner: DC)
LOCATION: US
- Common Stock (DC2) (Owner: DC)
LOCATION: US
- Convertible Note (Owner: JT)
LOCATION: US
- ETF (Owner: JT)
LOCATION: US
- ETF (DC1) (Owner: DC)
LOCATION: US
- ETF (DC2) (Owner: DC)
LOCATION: US
- Health Savings Account (HSA) (Owner: SP)
LOCATION: US
- Hedge Funds & Private Equity Funds (EIF) (Owner: JT)
LOCATION: US
- Hedge Funds & Private Equity Funds (EIF)
LOCATION: US
- Hedge Funds & Private Equity Funds (NON-EIF)
LOCATION: US
- IRA
- IRA (Owner: SP)
- Life Insurance
LOCATION: US
- Life Insurance - DC 1 (Owner: DC)
LOCATION: US
- Life Insurance - DC 2 (Owner: DC)
LOCATION: US
- Municipal Bonds (Owner: JT)
LOCATION: US
- Municipal Bonds - DC 1 (Owner: DC)

LOCATION: US

- Municipal Bonds - DC 2 (Owner: DC)
LOCATION: US
- Mutual Funds (Owner: JT)
LOCATION: US
- Mutual Funds
LOCATION: US
- Mutual Funds (Owner: SP)
LOCATION: US
- Mutual Funds (DC1) (Owner: DC)
LOCATION: US
- Mutual Funds (DC2) (Owner: DC)
LOCATION: US
- Options (Owner: JT)
LOCATION: US
- Options (Owner: SP)
LOCATION: US
- Other Retirement Plans
LOCATION: US
- Other Retirement Plans (Owner: SP)
LOCATION: US
- Preferred Stock (Owner: JT)
LOCATION: US
- Preferred Stock (Owner: SP)
LOCATION: US
- Preferred Stock
LOCATION: US
- Preferred Stock - DC 1 (Owner: DC)
LOCATION: US
- Preferred Stock - DC 2 (Owner: DC)
LOCATION: US
- Preferred Stock - Held In Trust (Owner: DC)
LOCATION: US
- Privately-Held Company Engaged in Trade or Business
LOCATION: US
- Privately-Held Company Engaged in Trade or Business (Owner: SP)
LOCATION: US
- Privately-Held Company Holding Investments
LOCATION: US
- Privately-Held Company Holding Investments - DC 1 (Owner: DC)
LOCATION: US
- Privately-Held Company Holding Investments - DC 2 (Owner: DC)
LOCATION: US
- Roth IRA (Owner: SP)
- Roth IRA

- Roth IRA (DC1) (Owner: DC)
- Roth IRA (DC2) (Owner: DC)
- Savings Bonds (Owner: JT)
LOCATION: US
- Savings Bonds - DC 1 (Owner: DC)
LOCATION: US
- Savings Bonds - DC 2 (Owner: DC)
LOCATION: US
- SEP IRA
- US Treasury Notes (Owner: JT)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: John R. Chrin , 06/29/2018